DOCKET NO. AUS920010790US1

DATE: March 30, 2006

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ş Group Art Unit: 3623

§ Calderaro, et. al. Serial No.: 10/042,461 8 Filed: January 8, 2002 §

§ Intellectual Property Law Dept. Title: System and Method for

**Establishing Automated** 11400 Burnet Road Resource Reduction Criteria Austin, Texas 78758

## INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

Leslie A. Van Leeuwen

Van Leeuwen & Van Leeuwen

Attorneys for Applicant Registration No. 42,196 Telephone: (512) 301-6738

Facsimile: (512) 301-6742

In Place of FORM PTO-1449 (Modified)

Serial No. <u>10/042,461</u> Applicant: <u>Calderaro, et. al.</u>

Filing Date: 1/8/2002

Group: 3623

Atty. Docket No. AUS920010790US1

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE **STATEMENT** 

|                            |    |   | <u>U. S. PAT</u> | ENT DOCUMENTS      |                                    |                               |  |
|----------------------------|----|---|------------------|--------------------|------------------------------------|-------------------------------|--|
| Examiner<br><u>Initial</u> |    | Document<br><u>Number</u>   | <u>Date</u>      | <u>Name</u>        | Classification<br>Class / Subclass | Filing Date if<br>Appropriate |  |
|                            | AA | 2003/0004790  | 01-2003          | Calderaro et al.   | 705/11                             |                               |  |
|                            | AB | 2003/0004789  | 01-2003          | Calderaro et al.   | 705/11                             |                               |  |
|                            | AC | 6,618,734   | 09-2003          | Williams et al.    | 707/102                            |                               |  |
| ·                          | AD | 5,926,794   | 07-1999          | Fethe              | 705/11                             |                               |  |
|                            | ΑE | 6,853,975   | 02-2005          | Dirksen et al.     | 705/11                             |                               |  |
|                            | AF | 5,909,669   | 06-1999          | Havens             | 705/11                             |                               |  |
|                            | AG |   |                  |                    |                                    |                               |  |
|                            | AH |   |                  |                    |                                    |                               |  |
|                            | ΑI |   |                  |                    |                                    |                               |  |
| <del></del>                | AJ |   |                  |                    |                                    |                               |  |
|                            | AK |   |                  |                    |                                    |                               |  |
|                            | AL |   |                  |                    |                                    |                               |  |
|                            |    |   | FOREIGN F        | PATENT DOCUMENTS   | <u>3</u>                           |                               |  |
| Examiner Initial           |    | Document<br><u>Number</u>   | <u>Da</u>        | ate <u>Country</u> | Classification                     | Translation<br><u>Yes No</u>  |  |
|                            | AM |   |                  |                    |                                    |                               |  |
|                            | AN |   |                  |                    |                                    |                               |  |
|                            | AO |   |                  |                    |                                    |                               |  |
| Examiner Initial           |    | OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)  |                  |                    |                                    |                               |  |
|                            | AP | "Reduction in grade and removal based on unacceptable performance," 5 CFR Part 431, February 23, 1987.                                      |                  |                    |                                    |                               |  |
|                            | AQ | "National Institute of Standards and Technology," Alternative Personnel Management System, Federal Register, October 21, 1997, v62, n203.   |                  |                    |                                    |                               |  |
|                            | AR | "Department of the Navy, Human Resources Implementation Guide" by Betty Welch, Department of the Navy Management Programs, October 1, 1997. |                  |                    |                                    |                               |  |
|                            | AS | US Office of Personnel Management, Restructuring Information Handbook, Module 3, Reduction in Force, June 1998 version.                     |                  |                    |                                    |                               |  |
|                            | AT | "Are you really managing your corporate resources?" by Robert Skinner, Management Accounting, v80, n2, August 1998.                         |                  |                    |                                    |                               |  |
|                            | AU | "IT Confidential" by John Soat, InformationWeek, July 9, 2001.  |                  |                    |                                    |                               |  |
|                            |    |   | Date Considered: |                    |                                    |                               |  |

applicant.